

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS  
INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
ROC920000123US1SERIAL NO.  
09/718,924APPLICANT:  
Timothy Roy BlockFILING DATE:  
November 22, 2000GROUP:  
2151

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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JUN 7 2001

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

BE ↓	AR	Robert Miller, IBM Patent Application filed December 7, 2000, Serial No. 09/732,198, "Peer Protocol Status Query
		In Clustered Computer System."
	AS	Robert Miller et al., IBM Patent Application filed October 27, 2000, Serial No. 09/697,398, "Group Data Sharing During
		Membership Change In Clustered Computer System."
	AT	Robert Miller et al., IBM Patent Application filed August 14, 2000, "Serial No. 09/638,328, "Merge Protocol for
		Clustered Computer System"

EXAMINER

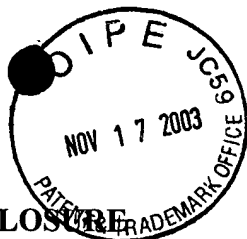
Bradley Coleman

DATE CONSIDERED

2/26/04

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

Application Number.: 09/718,924  
 Filing Date: November 22, 2000  
 First Named Inventor: Timothy Block  
 Art Unit: 2151  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000123US1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
BE	A	US - 4709365A	11/24/1987	Nicholas C. L. Beale et al	
	B	US - 5146590A	09/08/1992	Raymond A. Lorie et al	
	C	US - 5179699A	01/12/1993	Balakrishna R. Iyer et al	
	D	US - 5502818A	03/26/1996	Lauri Lamberg	
	E	US - 5640554A	06/17/1997	Riichiro Take	
	F	US - 5729687A	03/17/1998	Lewis V. Rothrock et al	
	G	US - 5973724A	10/26/1999	Guy G. Riddle	
	H	US - 6317867B1	11/13/2001	Elmootazbellah Elnozahy	
	I	US - 6427148B1	07/30/2002	David Cossock	
	J	US - 6460039B1	10/01/2002	Shlomit Pinter et al	
	K	US - 6529958B1	03/04/2003	Yoshihiro Oba et al	
	L	US - 6564372B1	05/13/2003	Boris A. Babaian et al	
	M	US - 6578032B1	06/10/2003	Raman Chandrasekar et al	

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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

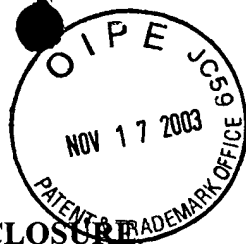
Examiner Signature	<i>Bradley Laddman</i>	Date Considered	2/26/04
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**
Sheet 2 of 2

Application Number.: 09/718,924  
 Filing Date: November 22, 2000  
 First Named Inventor: Timothy Block  
 Art Unit: 2151  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000123US1

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T <sup>2</sup>
BE	N	Arindam Banerji et al, "High-Performance Distributed Shared Memory Substrate for Workstation Clusters", in Proc. of the Second IEEE Int'l Symposium on High Performance Distributed Computing, January 1993	
	O	Jing-Chiou Liou et al, "A Comparison of General Approaches to Multiprocessor Scheduling", Parallel Processing Symposium, 1997. Proceedings, 11 <sup>th</sup> International, April 1-5, 1997, Pages 152-156	
	P	Leszek Lilien, "Quasi-Partitioning: A New Paradigm for Transaction Execution in Partitioned Distributed Database Systems", Data Engineering, 1989. Proceedings, Fifth International Conference on February 6-10, 1989, Pages 546-553	
	Q	Pei Yunzhang et al, "Totally Ordered Reliable Multicast for Whiteboard Application", Department of Computer Science and Technology, Tsinghua University, Beijing, 1999, Pages 1-7	
	R	Wanlei Zhou et al, "Parallel Recovery in a Replicated Object Environment", School of Computing and Mathematics, Deakin University, Pages 1-6	
	S	D. A. Agarwal et al, "A Reliable Ordered Delivery Protocol for Interconnected Local-Area Networks", In Proc. of the International Conference on Network Protocols, Tokyo, Japan, November 1995, Pages 365-374	

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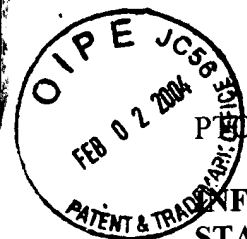
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Examiner Signature	<i>Bradley Edelman</i>	Date Considered	2/26/04
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		Number - Kind Code <sup>2</sup> (if known)			
DE		US - 5704032A	12/30/1997	Peter Richard Badovinatz et al	
		US - 5787249A	07/28/1998	Peter Richard Badovinatz et al	
		US - 5805786A	09/08/1998	Peter Richard Badovinatz et al	
		US - 5926619A	07/20/1999	Peter Richard Badovinatz et al	
		US - 6108699A	08/22/2000	Hossein Moiin	
		US - 6343320B1	01/29/2002	Steven E. Fairchild et al	

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Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>
		Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Signature	<i>Bradley H. Hildman</i>	Date Considered	2/26/04
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[illegible]

Examiner Signature \_\_\_\_\_

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